

#5

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FACSIMILE TRANSMITTAL SHEET

TO: Valerie Kinard, Supervisor	FROM: Don Erickson
COMPANY: USPTO, Customer Service	DATE: 08/31/01
FAX NUMBER: 703-308-7749	TOTAL NO. OF PAGES INCLUDING COVER: 7
RE: Appl Ser No 09/837,743	SENDER'S REFERENCE NUMBER:

☐ URGENT ☒ FOR REVIEW ☐ PLEASE COMMENT ☒ PLEASE REPLY

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Notes/Comments:

Dear Ms. Kinard:

Thank you for taking your time with me today. Following are 6 pages of documents showing the submittal of the Declaration of the Inventor, the date submitted, and the two notices of the missing Declaration.

I would appreciate it if you would call me back and advise me when the Declaration is properly included with the application.

Don Erickson.



DOCKET NO. *Page F.001A*
SERIAL NO. *09/837,743*

The date stamp of the Patent Office hereon may be considered as the date on which papers indicated below were received.

New Application	<input type="checkbox"/>	Notice of Appeal	<input type="checkbox"/>
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Drawing	<input type="checkbox"/>	Power of Att.	<input type="checkbox"/>
Resp. to O.A.	<input type="checkbox"/>	Final Fee	<input type="checkbox"/>
Con. Doc.	<input type="checkbox"/>	Check	<input type="checkbox"/>

Resp to Missing Parts + Declaration
Specification
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NO. 9012 Blumberg Excelsior N.Y.C. 10013



Don E. Erickson
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San Diego, CA 92130-2331



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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/837,743	04/17/2001	F. Ragen	RagenF.001A

CONFIRMATION NO. 9815

FORMALITIES LETTER



OC000000006085531

Don E. Erickson
Law Office, PMB 182
3830 Valley Center Drive, # 705
San Diego, CA 92130-2331

Date Mailed: 05/17/2001

NOTICE OF INCOMPLETE NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

A filing date has NOT been accorded to the above-identified application papers for the reason(s) indicated below.

All of the items noted below and a newly executed oath or declaration covering the items must be submitted within **TWO MONTHS** of the date of this Notice, unless otherwise indicated, or proceedings on the application will be terminated (37 CFR 1.53(e)).

The filing date will be the date of receipt of all items required below, unless otherwise indicated. Any assertions that the item(s) required below were submitted, or are not necessary for a filing date, must be by way of petition directed to the attention of the Office of Petitions accompanied by the \$130.00 petition fee (37 CFR 1.17(h)). If the petition states that the application is entitled to a filing date, a request for a refund of the petition fee may be included in the petition.

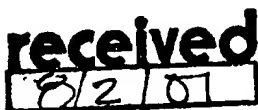
- The specification is missing.
- The specification does not include at least one claim.
A complete specification as prescribed by 35 U.S.C. 112 is required.

The required items noted below SHOULD be filed along with any items required above. The filing date of this nonprovisional application will be the date of receipt of the items required above.

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

*A copy of this notice **MUST** be returned with the reply.*

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/837,743	04/17/2001	Frank J. Ragen	RagenF.001A

CONFIRMATION NO. 9815

FORMALITIES LETTER



OC000000006365600

Don E. Erickson
Law Office, PMB 182
3830 Valley Center Drive, # 705
San Diego, CA 92130-2331

Date Mailed: 07/31/2001

NOTICE OF INCOMPLETE NONPROVISIONAL APPLICATION

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The filing date will be the date of receipt of all items required below, unless otherwise indicated. Any assertions that the item(s) required below were submitted, or are not necessary for a filing date, must be by way of petition directed to the attention of the Office of Petitions accompanied by the \$130.00 petition fee (37 CFR 1.17(h)). If the petition states that the application is entitled to a filing date, a request for a refund of the petition fee may be included in the petition.

- The specification is missing.
A complete specification as prescribed by 35 U.S.C. 112 is required.

*A copy of this notice **MUST** be returned with the reply.*

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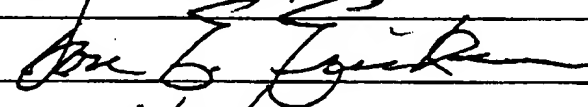
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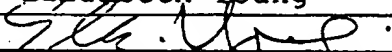
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<h1 style="text-align: center;">TRANSMITTAL FORM</h1> <p style="text-align: center;">(to be used for all correspondence after initial filing)</p>	Application Number	09/837,743	
	Filing Date	4/17/2001	
	First Named Inventor	Ragen, Frank J.	
	Group Art Unit	3628	
	Examiner Name		
Total Number of Pages in This Submission	3	Attorney Docket Number	RagenF.001A

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks		Declaration of Inventor Preliminary Amendment (copy of original)

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Law Offices of Don E. Erickson Don E. Erickson, Esq.
Signature	
Date	6/12/01

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 6/12/01			
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